

Docket Number: 008312-0308526

Client Reference: T4MT-03S0999-1



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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

HIROSHI SHINOZUKA

Group Art Unit: 2655

Application No.: 10/786,616

Examiner: Unassigned

Filed: February 26, 2004

Confirmation No.: 2042

For: OPTICAL HEAD APPARATUS AND OPTICAL DISK APPARATUS USING THIS  
OPTICAL HEAD APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

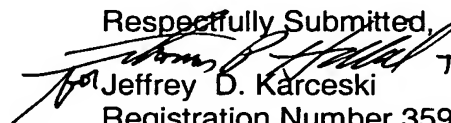
Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

English-language Abstracts of the non-English language references are attached hereto, and are relied upon for a statement of relevance for those references.

Respectfully Submitted,

 THOMAS P. HILLIARD  
for Jeffrey D. Karceski Reg. NO. 40330  
Registration Number 35914  
Customer Number: 00909

Date: April 13, 2006  
PILLSBURY WINTHROP SHAW PITTMAN LLP  
Telephone: (703) 770-7900  
Facsimile: (703) 770-7901  
P.O. Box 10500  
McLean, VA 22102



|                   |                |                |
|-------------------|----------------|----------------|
| Atty.<br>Dkt. No. | M#             | Client Ref.    |
|                   | 008312-0308526 | T4MT-03S0999-1 |

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

|                                |                      |
|--------------------------------|----------------------|
| Applicant: Shinozuka           |                      |
| Appln. No.: 10/786,616         |                      |
| Filing Date: February 26, 2004 |                      |
| Examiner: Unassigned           | Group Art Unit: 2655 |

Date: April 13, 2006 Page 1 of 1

## **U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials* | Document<br>Number | Date<br>MM/YYYY | Name<br>(Family Name of First Inventor) | Class | Sub<br>Class | Filing<br>Date<br>(if appropriate) |
|-------------------------|--------------------|-----------------|---|-------|--------------|------------------------------------|
| AR                      |                    |                 |   |       |              |                                    |
| BR                      |                    |                 |   |       |              |                                    |
| CR                      |                    |                 |   |       |              |                                    |
| DR                      |                    |                 |   |       |              |                                    |
| ER                      |                    |                 |   |       |              |                                    |
| FR                      |                    |                 |   |       |              |                                    |
| GR                      |                    |                 |   |       |              |                                    |
| HR                      |                    |                 |   |       |              |                                    |
| IR                      |                    |                 |   |       |              |                                    |
| JR                      |                    |                 |   |       |              |                                    |
| KR                      |                    |                 |   |       |              |                                    |
| LR                      |                    |                 |   |       |              |                                    |
| MR                      |                    |                 |   |       |              |                                    |
| NR                      |                    |                 |   |       |              |                                    |

## **FOREIGN PATENT DOCUMENTS**

|    | Document<br>Number | Date<br>MM/YYYY | Country | Inventor Name  | English<br>Abstract |    | Translation<br>Readily<br>Available |    |
|----|--------------------|-----------------|---------|----------------|---------------------|----|-------------------------------------|----|
|    |                    |                 |         |                | Enclosed            | No | Enclose                             | No |
| OR | JP 63-20406        | 02/1988         | Japan   | Kobashi et al. | x                   |    |                                     |    |
| PR | JP 04-362533       | 12/1992         | Japan   | Okumura        | x                   |    |                                     |    |
| QR | JP 07-093778       | 04/1995         | Japan   | Kubo           | x                   |    |                                     |    |
| RR |                    |                 |         |                |                     |    |                                     |    |
| SR |                    |                 |         |                |                     |    |                                     |    |
| TR |                    |                 |         |                |                     |    |                                     |    |
| UR |                    |                 |         |                |                     |    |                                     |    |
| VR |                    |                 |         |                |                     |    |                                     |    |
| WR |                    |                 |         |                |                     |    |                                     |    |
| XR |                    |                 |         |                |                     |    |                                     |    |

## **OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

|     |   |  |  |  |
|-----|---|--|--|--|
| YR  | Japanese Office Action dated February 28, 2006 for Appln. No. 2003-054999 |  |  |  |
| ZR  |   |  |  |  |
| AAR |   |  |  |  |
| BBR |   |  |  |  |
| CCR |   |  |  |  |
| DDR |   |  |  |  |

Examiner Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.